# **Breakthroughs in Photonics 2009**

Coherent Photon Sources • Ultrafast Photonics • Nonlinear Photonics Terhertz Photonics • Nano-Photonics • Silicon Photonics Photonics Materials • Bio-Photonics • Magneto-Photonics Photovoltaics and Sensors • Integrated Photonics Systems





Photographer: David Fairfield Authorized licensed use limited to; IEEE Xplore, Downloaded on May 11,2010 at 05:13:39 UTC from IEEE Xplore. Restrictions

## Breakthroughs in Photonics 2009

## Table of Contents

#### Editorial

Breakthroughs in Photonics 2009C. Menoni	206
Coherent Photon Sources From Far Infrared to X-Rays	
Mid-Infrared Lasers Schober	207
Interband Mid-IR Semiconductor Lasers	213
Compact Plasma-Based Soft X-Ray Lasers	217
Short-Wavelength Free-Electron Lasers K. Nugent and W. A. Barletta	221
Ultrafast, Attosecond, High-Field, and Short Wavelength Photonics	
Femtosecond to Attosecond Optics U. Keller	225
Fundamentals of Light Propagation and Interaction; Nonlinear Effects	
Major Accomplishments in 2009 on Slow Light R. W. Boyd and J. R. Lowell	229
Terahertz Photonics	
Breakthroughs in Terahertz Science and Technology in 2009D. Mittleman	232
Nano-Photonics	
Nanolasers Beat the Diffraction Limit M. T Hill	235
Breakthroughs in Silicon Photonics 2009 R. M. De la Rue	238

### Photonics Materials and Engineered Photonic Structures

III-Nitride Photonics N. Tansu, H. Zhao, G. Liu, XH. Li, J. Zhang, H. Tong, YK. Ee	241
Photonics Metamaterials: Science Meets Magic E. Ozbay	249
Major Accomplishments in 2009 on Femtosecond Laser Fabrication: Fabrication of Bio-Microchips	253
Bio-Photonics	
Three-Dimensional Holographic Imaging for Identification of Biological Micro/ Nanoorganisms	256
Magneto-Photonics	
Imaging Nanoscale Magnetic Structures With Polarized Soft X-Ray Photons	260
Photovoltaics and Sensors	
Solution-Processed Light Sensors and Photovoltaics	265
Integrated Photonic Systems	
Photonics Integration Technologies for Large-Capacity Telecommunication Networks	269
Ultrafast VCSELs for Datacom D. Bimberg	273

## **Editorial**

## **Breakthroughs in Photonics 2009**

As founding Editor-in-Chief, I am pleased to introduce *Breakthroughs in Photonics*: an annual feature of the IEEE PHOTONICS JOURNAL. *Breakthroughs in Photonics* is intended to highlight major accomplishments across the broad spectrum of Photonics Science and Technology within the year. This Special Section is also intended to draw the attention of readers and authors to the topics that are within the scope of the journal.

Breakthroughs in Photonics 2009 contains 17 invited peer-reviewed briefs written by worldrenowned experts. These briefs cover progress in the areas of Coherent Photon Sources, Ultrafast Photonics, Nonlinear Photonics, Terahertz Photonics, Nano-Photonics, Silicon Photonics, Bio-Photonics, Magneto-Photonics, Photonic Materials and Engineered Nanostructures, Photovoltaics and Sensors, and Integrated Photonics Systems. These selected topics represent a subset of the much broader and intense activity in the generation, control, and utilization of radiation that takes place worldwide.

Assembling this Special Section has truly been a team effort. I would like to extend my gratitude to the authors for contributing with insightful and complete reviews and to the Editorial Board for their active participation in identifying critical areas across the field of Photonics and helping with recruitment of invited speakers. Last, I would like to thank the Editorial Staff and the IEEE for helping structure this annual Special Section in the IEEE PHOTONICS JOURNAL.

Carmen S. Menoni, Editor-In-Chief

Vol. 2, No. 2, April 2010

## Solution-Processed Light Sensors and Photovoltaics

#### D. Aaron R. Barkhouse and Edward H. Sargent

(Invited Paper)

Edward S. Rogers Sr. Department of Electrical and Computer Engineering, University of Toronto, Toronto, ON M5S 3G4, Canada

> DOI: 10.1109/JPHOT.2010.2045368 1943-0655/\$26.00 © 2010 IEEE

Manuscript received February 14, 2010; revised March 3, 2010. Current version published April 23, 2010. This publication was supported in part by Award KUS-I1-009-21, made by King Abdullah University of Science and Technology. Corresponding author: E. H. Sargent (e-mail: ted.sargent@ utoronto.ca).

**Abstract:** Solution processed solar cells and photodetectors have been investigated extensively due to their potential for low-cost, high throughput fabrication. Colloidal quantum dots (CQDs) and conjugated polymers are two of the most promising materials systems for these applications, due to their processibility and their tunability, the latter achieved by varying their size or molecular structure. Several breakthroughs in the past year highlight the rapid progress that continues to be made in understanding these materials and engineering devices to realize their full potential. CQD photodiodes, which had already shown greater detectivity than commercially available photodetectors, have now reached MHz bandwidths. Polymer solar cells with near-perfect internal quantum efficiencies have been realized, and improved 3-D imaging of these systems has allowed theorists to link structure and function quantitatively. Organic photodetectors with sensitivities at wavelengths longer than 1  $\mu$ m have been achieved, and multiexciton generation has been unambiguously observed in a functioning CQD device, indicating its viability in further improving detector sensitivity.

Solution-processed semiconductors are fabricated with ease, at low cost, and on any reasonable substrate including a flexible one. Both polymer and colloidal quantum-dot-based devices continue to show promise to replace current commercially available technologies, and a number of breakthroughs in the past year have brought them significantly closer to that goal by advancing our understanding of device operation and by highlighting ways to harness absorbed photons more efficiently.

**Photodetectors**, which underpin the sensitive capture of digital images, saw several major leaps forward in the past year. First, 2009 saw the advent of the first sensitive megahertz-bandwidth solution-processed photodetectors [1]. Earlier years had seen huge advances in sensitivity but, by exploiting photoconductivity, often did so at the expense of speed [2]. The realization of a fully depleted Schottky photodiode employing 1.55-um-bandgap colloidal quantum dots overcame slow diffusive transport in these materials. The resultant devices exhibited D\* (normalized detectivity) in the 10<sup>12</sup> Jones, competing with sensitivities achieved by room-temperature InGaAs detectors. The colloidal quantum dot devices provided light sensing across the entire spectrum spanning 400 nm to 1.6 um (see Fig. 1).

A new avenue to even further-enhanced sensitivity—one distinctive to quantum solids—was proven in device form. Multiple-exciton generation (MEG), a process in which a single photon creates more than one excited electron-hole pair, has gained attention due to its potential for increasing the sensitivity and efficiency of photodetectors and photovoltaics. Despite this interest, evidence for MEG has so far been confined to spectroscopic signatures in ultrafast experiments which probe the relaxation dynamics of excitons in order to infer the presence of MEG [3]. An optoelectronic device

Vol. 2, No. 2, April 2010

Page 265



Fig. 1. Spectral responsivity of a solution processed quantum dot photodetector.



Fig. 2. Internal photoconductive gain as a function of photon energy for CQDs of three different sizes, showing increased sensitivity for photon energies above  $2.7E_g$  as a result of MEG.

showing increased photocurrent at photon energies of more than twice the bandgap  $(2E_g)$  could help prove not only the existence of MEG but its usefulness in enhancing device performance as well. 2009 saw the arrival of such a device in the form of a solution-processed photoconductive photodetector [4]. Consisting of a film of infrared-bandgap colloidal quantum dots addressed using coplanar electrical contacts, the device showed constant internal photoconductive gain as a function of photon energy for photon energies below  $2E_g$ . As the photon energy was increased to  $2.7E_g$  and beyond, which is the MEG threshold in these materials, the internal gain grew significantly, reaching nearly four times the long-wavelength value when the photon energy reached  $4.1E_g$  (see Fig. 2). MEG was thus harnessed to create a more sensitive photodetector.

Vol. 2, No. 2, April 2010

Because they offer convenient integration combined with exceptional sensitivity and speed, these materials became poised for incorporation into commercial imaging arrays. This dream became a reality [5], with a multimegapixel light imager being created based on a CMOS silicon integrated circuit providing pixel read-out and a continuous 100%-fill-factor top-surface colloidal quantum dot layer providing high-performance low-light imaging.

**Photovoltaics** for energy conversion saw major advances as well. Polymer bulk heterojunction solar cells had seen many years of rapid progress, reaching 5% solar-power-conversion efficiencies in 2008. In 2009, three separate groups reported a significant further advance, creating bulk heterojunction solar cells with efficiencies above 6% [6]–[8]. All of the groups exercised careful control over nanoscale separation between electron-donating and electron-accepting phases to achieve optimal exciton dissociation and charge transport, *emphasizing the crucial role these two processes play in efficient device operation*. The best devices achieved near-perfect internal quantum efficiency (electrons collected for every photon absorbed). Combined with careful manipulation of the optical field in the device by the introduction of a transparent TiO<sub>x</sub> spacer layer, this resulted in impressive short-circuit current densities greater than 10 mA/cm<sup>2</sup>.

These findings put an even sharper focus on the critical importance of nanoscale morphology in polymer photovoltaics—and as such mandated further advances in its detailed characterization. Rough estimates of morphology from AFM [9], [10], TEM [11], or various photocurrent mapping techniques [12]–[14] are instructive, but the direct morphological information obtained from these techniques tends to be confined to surface or in-plane morphology of the films, while both exciton dissociation and carrier transport depend sensitively on the bulk and out-of-plane domain morphology as well. 2009 heralded nanometer-scale mapping of the 3-D morphology of polymer/ZnO bulk heterojunction solar cells, enabling quantitative correlation of device performance with nanoscale morphology [15]. The roles of transport and exciton dissociation in limiting device performance are today much better quantified.

Colloidal quantum dot photovoltaics saw a similarly brisk pace of progress. These materials offer the potential to absorb not only the visible but the infrared half of the sun's spectrum reaching the earth [16] as well. These devices, which were first reported in 2005 with subpercent efficiencies, [17] rose to multipercent efficiencies in 2009 [18]–[20].

In both polymers and colloidal quantum dots, one of the major outstanding questions is the stability of devices and materials. It is known that, with rigorous encapsulation, commercially relevant device lifetimes may be achieved in organic semiconductor materials and devices [21], [22]. *Polymer solar cells already have shown illumination stability over hundreds of hours, but degrade quickly on exposure to moisture or oxygen*, [23] *while early quantum dot devices exhibited exceptionally short lifetimes upon exposure to air* [24]. It is of interest to build materials that are amenable to processing in minimally controlled environments (ideally room air) and that survive either under ambient conditions or with low-cost encapsulation. One recent report [25] introduces a new concept: the idea of building active photovoltaic semiconductor materials that—rather than being resistant to oxidation—are tolerant of oxidation. Specifically, the materials employed cation-rich surfaces that form oxides that produced shallow, rather than deep, traps, enabling the extension of photocarrier lifetimes without excessively compromising their extraction times [26].

In sum, optoelectronic devices based on printable materials advanced in 2009 both in concept and in quantitative performance. Light sensors achieved photodetection capability comparable with that of their single-crystal counterparts, and photovoltaics made significant progress toward the 10% solar power conversion efficiency often touted as the threshold for commercial competitiveness of low-cost solar technologies. *There remains a great deal of work to do to reach this goal, including understanding and controlling degradation mechanisms to achieve long-term device stability and making devices that absorb fully, and convert efficiently, the IR portion of the spectrum. Finally, two important issues have shown recent promise and demand further development. Colloidal quantum dots based on heavy-metal-free constituents have shown encouraging initial performance [27] and merit further optimization. In addition, there are initial indications that organic semiconductors can harness infrared light at wavelengths not previously addressed by such materials [28], potentially paving the way to polymer solar cells converting a greater fraction of the sun's broad spectrum.* 

#### Vol. 2, No. 2, April 2010

Page 267

#### References

- [1] J. P. Clifford, G. Konstantatos, K. W. Johnston, S. Hoogland, L. Levina, and E. H. Sargent, "Fast, sensitive and spectrally tuneable colloidal-quantum-dot photodetectors," *Nat. Nanotechnol.*, vol. 4, no. 1, pp. 40–44, Jan. 2009. [2] G. Konstantatos, I. Howard, A. Fischer, S. Hoogland, J. Clifford, E. Klem, L. Levina, and E. H. Sargent, "Ultrasensitive
- solution-cast quantum dot photodetectors," Nature, vol. 442, no. 7099, pp. 180-183, Jul. 2006.
- [3] R. D. Schaller and V. I. Klimov, "High efficiency carrier multiplication in PbSe nanocrystals: Implications for solar energy conversion," Phys. Rev. Lett., vol. 92, no. 18, p. 186 601, May 2004.
- [4] V. Sukhovatkin, S. Hinds, L. Brzozowski, and E. H. Sargent, "Colloidal quantum-dot photodetectors exploiting multiexciton generation," Science, vol. 324, no. 5934, pp. 1542-1544, Jun. 2009.
- [5] E. H. Sargent, "Connecting the quantum dots," IEEE Spectr., vol. 47, no. 2, pp. 48-52, Feb. 2010.
- [6] S. H. Park, A. Roy, S. Beaupre, S. Cho, N. Coates, J. S. Moon, D. Moses, M. Leclerc, K. Lee, and A. J. Heeger, "Bulk heterojunction solar cells with internal quantum efficiency approaching 100%," Nat. Photon., vol. 3, no. 5, pp. 297-302, May 2009.
- [7] Y. Y. Liang, D. Q. Feng, Y. Wu, S. T. Tsai, G. Li, C. Ray, and L. P. Yu, "Highly efficient solar cell polymers developed via fine-tuning of structural and electronic properties," J. Amer. Chem. Soc., vol. 131, no. 22, pp. 7792–7799, Jun. 2009.
- [8] H. Y. Chen, J. H. Hou, S. Q. Zhang, Y. Y. Liang, G. W. Yang, Y. Yang, L. P. Yu, Y. Wu, and G. Li, "Polymer solar cells with enhanced open-circuit voltage and efficiency," *Nat. Photon.*, vol. 3, no. 11, pp. 649–653, Nov. 2009.
- [9] D. Gebeyehu, C. J. Brabec, F. Padinger, T. Fromherz, J. C. Hummelen, D. Badt, H. Schindler, and N. S. Sariciftci, "The interplay of efficiency and morphology in photovoltaic devices based on interpenetrating networks of conjugated polymers with fullerenes," Synth. Met., vol. 118, no. 1-3, pp. 1-9, Mar. 2001.
- [10] C. Y. Kwong, A. B. Djurisic, P. C. Chui, K. W. Cheng, and W. K. Chan, "Influence of solvent on film morphology and device performance of poly(3-hexylthiophene):TiO2 nanocomposite solar cells," Chem. Phys. Lett., vol. 384, no. 4-6, pp. 372–375, Jan. 2004.
- [11] X. N. Yang, J. K. J. van Duren, R. A. J. Janssen, M. A. J. Michels, and J. Loos, "Morphology and thermal stability of the active layer in poly(p-phenylenevinylene)/methanofullerene plastic photovoltaic devices," Macromolecules, vol. 37, no. 6, pp. 2151-2158, Mar. 2004.
- [12] H. Frohne, C. R. McNeill, G. G. Wallace, and P. C. Dastoor, "Enhancement of polymer electronics via surface states on highly doped polymeric anodes," J. Phys. D, Appl. Phys., vol. 37, no. 2, pp. 165-170, Jan. 2004.
- [13] D. C. Coffey, O. G. Reid, D. B. Rodovsky, G. P. Bartholomew, and D. S. Ginger, "Mapping local photocurrents in polymer/fullerene solar cells with photoconductive atomic force microscopy," Nano Lett., vol. 7, no. 3, pp. 738-744, Mar. 2007.
- [14] B. J. Leever, M. F. Durstock, M. D. Irwin, A. W. Hains, T. J. Marks, L. S. C. Pingree, and M. C. Hersam, "Spatially resolved photocurrent mapping of operating organic photovoltaic devices using atomic force photovoltaic microscopy, Appl. Phys. Lett., vol. 92, no. 1, p. 013302, 2008.
- [15] S. D. Oosterhout, M. M. Wienk, S. S. van Bavel, R. Thiedmann, L. J. A. Koster, J. Gilot, J. Loos, V. Schmidt, and R. A. J. Janssen, "The effect of three-dimensional morphology on the efficiency of hybrid polymer solar cells," Nat. Mater., vol. 8, no. 10, pp. 818-824, Oct. 2009.
- [16] E. H. Sargent, "Infrared photovoltaics made by solution processing," Nat. Photon., vol. 3, no. 6, pp. 325-331, Jun. 2009.
- [17] S. A. McDonald, G. Konstantatos, S. G. Zhang, P. W. Cyr, E. J. D. Klem, L. Levina, and E. H. Sargent, "Solution-processed PbS quantum dot infrared photodetectors and photovoltaics," Nat. Mater., vol. 4, no. 2, pp. 138-142, Feb. 2005.
- [18] W. Ma, J. M. Luther, H. M. Zheng, Y. Wu, and A. P. Alivisatos, "Photovoltaic devices employing ternary PbS<sub>x</sub>Se<sub>1-x</sub> nanocrystals," *Nano Lett.*, vol. 9, no. 4, pp. 1699–1703, Apr. 2009. [19] B. P. Rand, J. Xue, F. Yang, and S. R. Forrest, "Organic solar cells with sensitivity extending into the near infrared,"
- Appl. Phys. Lett., vol. 87, no. 23, p. 233508, Dec. 2005.
- [20] J. J. Choi, Y. F. Lim, M. B. Santiago-Berrios, M. Oh, B. R. Hyun, L. F. Sung, A. C. Bartnik, A. Goedhart, G. G. Malliaras, H. D. Abruna, F. W. Wise, and T. Hanrath, "PbSe nanocrystal excitonic solar cells," Nano Lett., vol. 9, no. 11, pp. 3749-3755, Nov. 2009.
- [21] J. A. Hauch, P. Schilinsky, S. A. Choulis, S. Rajoelson, and C. J. Brabec, "The impact of water vapor transmission rate on the lifetime of flexible polymer solar cells," Appl. Phys. Lett., vol. 93, no. 10, p. 103 306, Sep. 2008.
- [22] F. L. Wong, M. K. Fung, S. L. Tao, S. L. Lai, W. M. Tsang, K. H. Kong, W. M. Choy, C. S. Lee, and S. T. Lee, "Long-lifetime thin-film encapsulated organic light-emitting diodes," J. Appl. Phys., vol. 104, no. 1, pp. 014509-1–014509-4, Jul. 2008.
- [23] F. C. Krebs, S. A. Gevorgyan, and J. Alstrup, "A roll-to-roll process to flexible polymer solar cells: Model studies, manufacture and operational stability studies," *J. Mater. Chem.*, vol. 19, no. 30, pp. 5442–5451, 2009.
- [24] J. M. Luther, M. Law, M. C. Beard, Q. Song, M. O. Reese, R. J. Ellingson, and A. J. Nozik, "Schottky solar cells based on colloidal nanocrystal films," Nano Lett., vol. 8, no. 10, pp. 3488-3492, Oct. 2008.
- [25] J. Tang, L. Brzozowski, D. A. R. Barkhouse, X. Wang, R. Debnath, R. Wolowiec, E. Palmiano, L. Levina, A. G. Pattantyus-Abraham, D. Jamakosmanovic, and E. H. Sargent, "Quantum dot photovoltaics in the extreme quantum confinement regime: The surface-chemical origins of exceptional air- and light-stability," ACS Nano, vol. 4, no. 11, pp. 869-878, Jan. 2010.
- [26] D. A. R. Barkhouse, A. G. Pattantyus-Abraham, L. Levina, and E. H. Sargent, "Thiols passivate recombination centers in colloidal quantum dots leading to enhanced photovoltaic device efficiency," ACS Nano, vol. 2, no. 11, pp. 2356-2362, Nov. 2008.
- [27] J. Tang, G. Konstantatos, S. Hinds, S. Myrskog, A. G. Pattantyus-Abraham, J. Clifford, and E. H. Sargent, "Heavymetal-free solution-processed nanoparticle-based photodetectors: Doping of intrinsic vacancies enables engineering of sensitivity and speed," ACS Nano, vol. 3, pp. 331-338, 2009.
- X. Gong, M. H. Tong, Y. J. Xia, W. Z. Cai, J. S. Moon, Y. Cao, G. Yu, C. L. Shieh, B. Nilsson, and A. J. Heeger, "High-[28] detectivity polymer photodetectors with spectral response from 300 nm to 1450 nm," Science, vol. 325, no. 5948, pp. 1665-1667, Sep. 2009.

Vol. 2, No. 2, April 2010