# Efficient electrically powered CO<sub>2</sub>-to-ethanol via suppression of deoxygenation

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The carbon dioxide electroreduction reaction  $(CO_2RR)$  provides ways to produce ethanol but its Faradaic efficiency could be further improved, especially in  $CO_2RR$  studies reported at a total current density exceeding 10 mA cm<sup>-2</sup>. Here we report a class of catalysts that achieve an ethanol Faradaic efficiency of  $(52 \pm 1)\%$  and an ethanol cathodic energy efficiency of 31%. We exploit the fact that suppression of the deoxygenation of the intermediate HOCCH\* to ethylene promotes ethanol production, and hence that confinement using capping layers having strong electron-donating ability on active catalysts promotes C-C coupling and increases the reaction energy of HOCCH\* deoxygenation. Thus, we have developed an electrocatalyst with confined reaction volume by coating Cu catalysts with nitrogen-doped carbon. Spectroscopy suggests that the strong electron-donating ability and confinement of the nitrogen-doped carbon layers leads to the observed pronounced selectivity towards ethanol.

lectroreduction of CO<sub>2</sub> to valuable chemicals provides a promising avenue towards the storage of renewable electricity<sup>1</sup>. Although a wide range of different products from  $C_1$  to  $C_2$  have been produced<sup>2-7</sup>, only carbon monoxide (CO), formate and ethylene have been reported with high Faradaic efficiency (FE) at commercially relevant current densities (exceeding 100 mA cm<sup>-2</sup>)<sup>5-7</sup>. Ethanol is of particular interest as it has high energy density and is used as a high-octane fuel. It has a correspondingly high market price and consistent global demand8. Today the global market size for ethanol is valued at US\$75 billion a year9. In CO2RR, ethanol and ethylene are the two main competing C<sub>2</sub> products. It is believed that they are derived from a shared key intermediate (HOCCH\*), and that ethylene is generated after C-O bond-breaking from HOCCH\*10,11. A technoeconomic analysis of CO<sub>2</sub>RR systems shows that C<sub>2</sub> production can become profitable only once the partial current density exceeds 100 mA cm<sup>-2</sup> (ref. 8). Recently, CO<sub>2</sub>RR to ethylene has been reported with an FE of up to 70% with a partial current density of 184 mA cm<sup>-2</sup> (ref. 6). Unfortunately, the best ethanol FE reported so far is 41% even for moderate productivity, that is, having a total current density higher than 10 mA cm<sup>-2</sup> (Supplementary Table 1)<sup>4,12-16</sup>.

Confinement—covering an active electrocatalyst to enable molecules and solutions to intercalate—is a strategy to modulate the activity of catalysts<sup>17-19</sup>: confined sub-nanometre-thick spaces

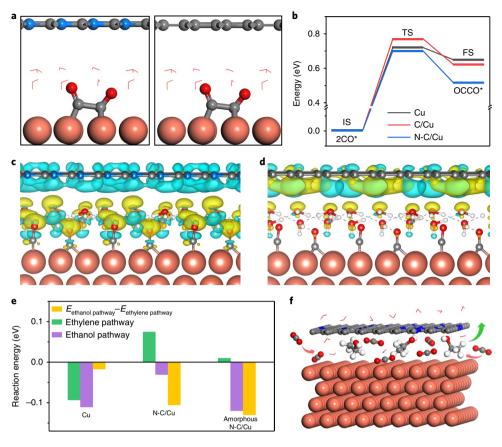
function as nanoreactors. The strategy has been used in the conversion of CO, syngas and methane and in the electrolysis of water<sup>18,20</sup>. Here we exploit confinement to increase selectivity for ethanol. Density functional theory (DFT) calculations suggest that coating a nitrogen-doped carbon (N-C) layer on a Cu surface promotes C–C coupling and suppresses the breaking of the C–O bond in HOCCH<sup>\*</sup>, thereby promoting ethanol selectivity in CO<sub>2</sub>RR. This is made possible by the strong electron-donating ability of the confining N-C layer. The catalyst delivers an ethanol FE of (52±1) % and an ethanol cathodic energy efficiency (EE) of 31%.

#### **DFT** calculations

We investigated the CO dimerization reaction (Fig. 1a, Supplementary Figs. 1–4, and Supplementary Table 2), a key step for  $C_{2+}$  production, on three structures: a N-C layer on the Cu surface (N-C/Cu); a carbon layer on the Cu surface (C/Cu); and Cu. N-C/Cu has the lowest barrier and enthalpy change for CO dimerization compared to C/Cu and Cu (Fig. 1b), suggesting that N-C/ Cu will deliver the highest selectivity to  $C_{2+}$  products. In contrast, C/Cu has worse CO dimerization kinetics compared to bare Cu, indicating that the carbon cover on Cu will work against C-C coupling for  $C_{2+}$  products.

To understand how the N-C layer affects CO dimerization, we also generated electron density difference plots for N-C/Cu and

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**Fig. 1** | **DFT calculations.** Cu, N, C and O atoms are illustrated as orange, blue, grey and red balls, respectively, while water molecules are shown as lines. **a**, Geometries of dimerized OCCO\* intermediate on N-C/Cu and C/Cu. **b**, Energy profiles for initial states (ISs), transition states (TSs), and final states (FSs) of CO dimerization on Cu, C/Cu and N-C/Cu, respectively. **c**,**d**, Electron density difference plots for N-C/Cu (**c**) and C/Cu (**d**) with two adsorbed \*CO and one charged water layer, respectively. Yellow contours represent charge accumulations, and blue contours denote charge depressions. **e**, Reaction energies of the ethylene pathway (HOCCH\* to CCH\*) and the ethanol pathway (HOCCH\* to HOCHCH\*) on Cu, N-C/Cu and amorphous N-C/Cu. **f**, Illustration of CO<sub>2</sub> intercalation at the N-C/Cu interface and the production of ethanol.

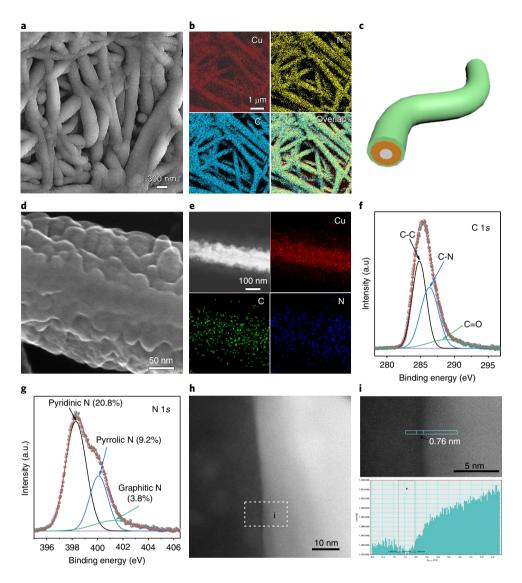
C/Cu with two adsorbed \*CO intermediates in solution (Fig. 1c,d). The N-C layer loses electrons (blue) and the adsorbed \*CO gains electrons (yellow), whereas there is no obvious electron transfer between the carbon layer and the adsorbed \*CO, suggesting that the N-C layer is beneficial to electron transfer to adsorbed \*CO on Cu, and that it thus promotes the generation of the C-C coupled intermediate<sup>21</sup>.

HOCCH\* is the key intermediate from which branches the ethylene pathway and the ethanol pathway (Supplementary Fig. 5)<sup>10,11</sup>: we therefore further calculated the reaction energies of HOCCH\* to CCH\* (ethylene pathway) and HOCCH\* to HOCHCH\* (ethanol pathway) to understand the effect of different confining materials on the C2 product distribution (Supplementary Figs. 6-9 and Supplementary Tables 3-6). Compared to bare Cu, the C/Cu and N-C/Cu catalysts improve ethanol selectivity versus ethylene (Fig. 1e and Supplementary Table 3), which we ascribe to the confinement effect in the stabilization of the C-O bond of HOCCH\*, which leads to a suppression of the deoxygenation process. Here the distance between Cu and graphene or a nitrogen-doped graphene layer  $(d_{\text{N-C/Cu}})$  was optimized with the aid of DFT (Supplementary Table 7 and Supplementary Figs. 10-12), and the results showed that ethanol selectivity can be promoted in N-C/Cu with  $d_{\text{N-C/Cu}}$  in the range 6-9 Å compared to Cu alone. Additionally, we calculated the reaction energies of the ethanol and ethylene pathways based on amorphous N-doped carbon/Cu (amorphous N-C/Cu) (Supplementary Figs. 13-15 and Supplementary Tables 8 and 9). Amorphous N-C/Cu

also improves ethanol selectivity versus ethylene compared to bare Cu (Fig. 1e). Interestingly, the ethanol pathway on both N-C/Cu and amorphous N-C/Cu is favoured thermodynamically, whereas the ethylene pathway is suppressed, suggesting that C–O bond-breaking from HOCCH\* is prevented on both N-C/Cu and amorphous N-C/Cu. These results suggest that N-C/Cu has the potential to generate an increased selectivity to ethanol (Fig. 1f).

#### Catalyst synthesis and characterization

We sought to fabricate N-C/Cu catalysts via sputter deposition of a layer of Cu nanoparticles on the surface of polytetrafluoroethylene (PTFE) nanofibres (Supplementary Figs. 16-18), followed by the sputter deposition of a layer of N-C on the surface of sputtered Cu nanoparticles (Fig. 2a and Supplementary Figs. 17-20). Energy-dispersive X-ray spectroscopy (EDX) elemental mapping shows a uniform distribution of Cu, N and C on PTFE nanofibres (Fig. 2b). Electron microscopy investigations confirm that the N-C layer coated the Cu (Fig. 2c-e and Supplementary Fig. 21). Powder X-ray diffraction (XRD) and transmission wide-angle X-ray scattering (WAXS) data for this electrode demonstrate the presence of Cu; there is no observable peak or ring for the N-C layer, indicating its amorphous structure (Supplementary Figs. 22-24). The N-C layer was confirmed by high-resolution X-ray photoelectron spectroscopy (XPS) C 1s and N 1s spectra (Fig. 2f, g). The deconvolved C 1s peak shows graphitic carbon and the existence of nitrogen atoms<sup>22</sup>. The deconvolved N 1s peak shows that the primary



**Fig. 2 | Structural and compositional analyses of the 34% N-C/Cu catalyst on PTFE. a**, Low-magnification scanning electron microscopy (SEM) image of the 34% N-C/Cu catalyst on PTFE. **b**, EDX elemental mapping of Cu, N and C for the 34% N-C/Cu catalyst on PTFE. **c**, Scheme of the cross-sectional structure of a N-C/Cu/PTFE nanofibre. The white, orange and green layers represent PTFE, Cu and N-C, respectively. **d**,**e**, Secondary electron image (**d**) and the corresponding high-angle annular dark-field scanning transmission electron microscopy (HAADF-STEM) image, as well as the elemental mapping of Cu, N and C taken from a section of one 34% N-C/Cu/PTFE nanofibre (**e**). **f**,**g**, High-resolution C 1s (**f**) and N 1s spectra (**g**) for 34% N-C/Cu catalyst on PTFE. (a.u., arbitrary units.) **h**, HAADF-STEM image of the 34% N-C/Cu ultrathin section. **i**, Higher-magnification HAADF-STEM image (top) taken from the area marked by the box in **h** and its intensity profile (the unit of intensity is a.u.) taken along the rectangular frame (bottom), displaying the distance between the Cu layer and the N-C layer,  $d_{N-C/Cu}$ .

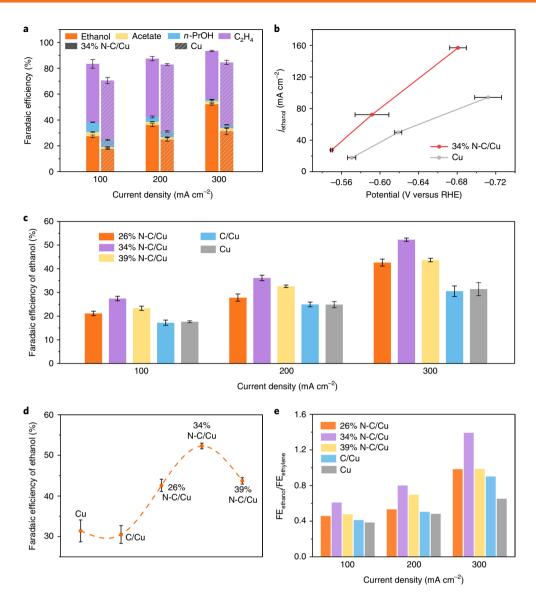
form of N is pyridinic- $N^{14}$  and the atomic percentage of nitrogen in the N-C layer is approximately 34%, as determined by XPS (denoted 34% N-C).

Analysis of HAADF-STEM images of microtomed 34% N-C/Cu catalyst demonstrates that there are regions in which a gap is present between the Cu layer and the N-C layer; and there exist other regions in which these layers touch one another (Fig. 2h and Supplementary Fig. 25a). These images are acquired with a convergence angle of 30 mrad and with an inner collection angle of 65 mrad of a HAADF detector. The HAADF image intensity is approximately proportional to the atomic number  $Z^{1.7}$  of the elements<sup>23</sup> and these images can thus be used to differentiate between the Cu and N-C layers. The reduced contrast between the Cu and N-C layers indicates the presence of a gap between these layers; and the intensity profile of this reduced-contrast region can thus be used to estimate the gap

width. In the gap regions, the distance between the Cu layers and the N-C layer that we determined is typically less than 1 nm (Fig. 2i and Supplementary Fig. 25b). We point out that this structural analysis of an ultrathin slice provides overall guidance, but that the absolute value of the gap width cannot be confidently obtained using this method. We reason nevertheless that, for the range of gap widths estimated, the gap regions are able to act as nanoreactors.

#### Investigation of CO<sub>2</sub> electroreduction

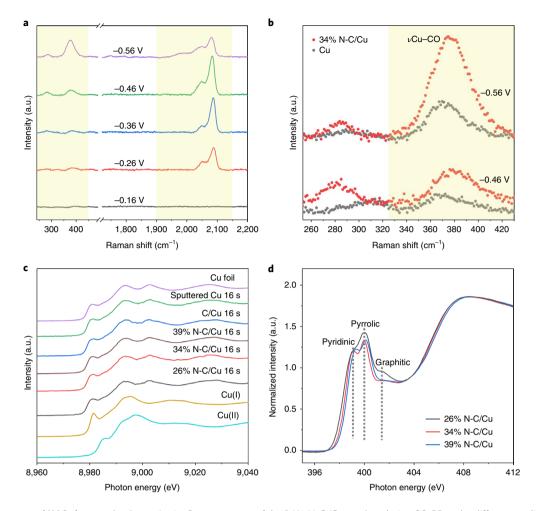
The N-C/Cu electrode was electrochemically tested (Supplementary Fig. 26) in a flow cell reactor, a configuration similar to that used in a previous report<sup>24</sup>. Figure 3a shows the FE values for  $C_{2+}$  products on the 34% N-C/Cu catalyst in the current density range 100–300 mA cm<sup>-2</sup> in 1 M KOH electrolyte. Both FE values of  $C_{2+}$  products and ethanol on the 34% N-C/Cu catalyst are



**Fig. 3 | CO<sub>2</sub>RR performance comparisons. a**, FE values of C<sub>2+</sub> products on Cu (the hatched bars) and 34% N-C/Cu catalysts under different current densities. Error bars represent the standard deviation of measurements based on three independent samples. **b**, Partial ethanol current densities  $j_{ethanol}$  versus potentials referred to the reversible hydrogen electrode (RHE) on 34% N-C/Cu and Cu catalysts. Error bars denote the standard deviation of potentials (n > 300) during the constant-current electrolysis. **c**, Ethanol FE values on different catalysts under different current densities. Error bars represent the standard deviation of measurements based on three independent samples. **d**, Comparison of ethanol FE values for different catalysts at  $300 \text{ mA cm}^{-2}$ . Error bars represent the standard deviation of measurements based on three independent samples. **e**, Comparison of the ratios of FE<sub>ethanol</sub> to FE<sub>ethylene</sub> on different catalysts under different current densities.

higher than that on the bare Cu control (Supplementary Table 10), in agreement with our DFT prediction. Under a current density of 300 mA cm<sup>-2</sup>, the total  $C_{2+}$  FE on 34% N-C/Cu is up to 93% and an ethanol FE of  $(52 \pm 1)$ % is achieved with a conversion rate of  $(156 \pm 3)$  mA cm<sup>-2</sup> at -0.68 V with reference to the reversible hydrogen electrode (V<sub>RHE</sub>) after ohmic loss correction (Fig. 3b and Supplementary Fig. 27); this represents an ethanol cathodic EE of 31%. In addition, we note that the H<sub>2</sub> FE on 34% N-C/Cu is lower than that on bare Cu (Supplementary Table 10), also in agreement with DFT calculations (Supplementary Table 11). We also evaluated the CO<sub>2</sub>RR performance on a bare 34% N-C layer; only H<sub>2</sub> with FE up to 80–90% could be detected (Supplementary Fig. 28): there was no ethanol detectable in the electrolyte following CO<sub>2</sub>RR, indicating that the ultrathin N-C layer does not, on its own, catalyse CO<sub>2</sub>RR to ethanol. We estimated the electrochemically active surface area of Cu ( $Cu_{ECSA}$ ) in N-C/Cu and Cu catalysts using Pb underpotential deposition ( $Pb_{UPD}$ ) (Supplementary Fig. 29 and Supplementary Table 12). We normalized the partial ethanol current density by  $Cu_{ECSA}$  to compare intrinsic activity (Supplementary Fig. 30) and found that, under optimal ethanol conditions (300 mA cm<sup>-2</sup>), the  $Cu_{ECSA}$ -normalized partial ethanol current density on 34% N-C/Cu is 26.6 mA cm<sup>-2</sup>, which is 1.8 times higher than that on Cu. We further performed isotope-labelling experiments with <sup>13</sup>CO<sub>2</sub> and found that ethanol was indeed produced via CO<sub>2</sub>RR, rather than from contaminants (Supplementary Figs. 31 and 32).

To explore the effects of the N-C layer on the performance of  $CO_2RR$ , we also prepared 26% N-C/Cu, 39% N-C/Cu and C/Cu on PTFE via similar sputtering methods (Supplementary Figs. 33–36) and measured their  $CO_2RR$  performance for comparison (Fig. 3c–e).



**Fig. 4 | In situ Raman and XAS characterization. a**, In situ Raman spectra of the 34% N-C/Cu catalyst during CO<sub>2</sub>RR under different applied potentials. The regions of 240-450 cm<sup>-1</sup> and 1,900-2,150 cm<sup>-1</sup> are shaded. **b**, Comparison of in situ Raman spectra of 34% N-C/Cu and Cu catalysts in the range of 253-430 cm<sup>-1</sup>. The region of 325-430 cm<sup>-1</sup> showing Cu-CO stretch ( $\nu$ Cu-CO) is shaded. All given potentials are referred to the reversible hydrogen electrode (RHE). **c**, In operando Cu K-edge XANES spectra of different catalysts during CO<sub>2</sub>RR by applying 300 mA cm<sup>-2</sup> for 16 s. Bulk Cu foil, CuO and Cu<sub>2</sub>O are listed as references. **d**, Surface-sensitive total-electron-yield XANES spectra at the nitrogen K-edge on different N-C/Cu catalysts.

Under the same current densities, 26% N-C/Cu and 39% N-C/Cu catalysts also deliver a higher  $\mathrm{C}_{2+}\operatorname{FE}$  than Cu catalysts, whereas the C/Cu catalyst shows a lower  $C_{2+}$  FE compared to the Cu catalyst (Supplementary Table 10), suggesting that the confinement of the carbon layer on Cu could not favour C-C coupling for C<sub>2+</sub> products. All the N-C/Cu catalysts showed higher selectivities to ethanol relative to the Cu and C/Cu catalysts under the same current densities (Fig. 3c). By comparing the  $CO_2RR$  performance at  $300 \text{ mA cm}^{-2}$ among the catalysts, the 34% N-C/Cu catalyst displays the highest ethanol FE, 1.7× higher than for the Cu control (Fig. 3d). We further calculated the ratios of ethanol FE to ethylene FE (FE<sub>ethanol</sub>/FE<sub>ethylene</sub>) to evaluate the selectivity to ethanol versus ethylene in CO<sub>2</sub>RR (Fig. 3e). Compared with Cu, all the N-C/Cu and C/Cu catalysts exhibit higher FE<sub>ethanol</sub>/FE<sub>ethvlene</sub>, in agreement with our calculations, indicating that the confinement of the cover on Cu is more favourable for the ethanol pathway versus the ethylene pathway.

To compare local pH at the Cu surface in N-C/Cu and Cu, we carried out the local species concentration modelling for cases with and without the N-C layer (Supplementary Fig. 37). The local pH at the Cu surface is unchanged (Supplementary Table 13).

It is well known that the formation of multi-carbon products in  $CO_2RR$  goes through the formation of the \*CO intermediate, and then the further reduction of \*CO intermediates<sup>11,25,26</sup>. To gain insight into C–C coupling on 34% N-C/Cu and Cu electrodes during CO<sub>2</sub>RR, we acquired Raman spectra in situ and investigated the interactions between the catalytic surface and the \*CO intermediate (Fig. 4a,b). Three regions in Raman spectra are associated with the surface-absorbed \*CO. The bands at about 283 cm<sup>-1</sup> and about 374 cm<sup>-1</sup> are related to the Cu-CO frustrated rotation and Cu-CO stretch, respectively<sup>27,28</sup>. The band in the range 1,900-2,120 cm<sup>-1</sup> can be ascribed to the C $\equiv$ O stretch of the surface-absorbed CO, including atop-bound CO (>2,000 cm<sup>-1</sup>) and bridge-bound CO (1,900-2,000 cm<sup>-1</sup>) (ref. <sup>29,30</sup>). Raman spectra with Ar-saturated KOH were also measured as controls to reveal that it was truly CO<sub>2</sub>-saturated conditions that gave rise to these multiple sets of peaks (Supplementary Fig. 38). In situ Raman spectra shows that the peaks related to the surface-absorbed \*CO appear at a more positive potential on 34% N-C/Cu catalyst (-0.26 V<sub>RHE</sub>) relative to the bare Cu catalyst (-0.46 V<sub>RHE</sub>) (Supplementary Fig. 39), indicating that the potential for CO generation on the 34% N-C/Cu is lower than that on Cu.

We also found that, under the same potentials, the bands for the Cu–CO stretch exhibited a blueshift on the 34% N-C/Cu compared to Cu (Fig. 4b). The blueshift suggests a stronger binding of CO to the N-C/Cu surface relative to Cu (ref. <sup>31</sup>), which might promote the subsequent C–C coupling step and thus the production of  $C_{2+}$  (ref. <sup>32</sup>). We also calculated the CO adsorption energies on the N-C/Cu and Cu models using DFT and found that the CO adsorption energy on

the N-C/Cu (-0.60 eV) was also higher than that on Cu (-0.48 eV) (Supplementary Table 14), consistent with our Raman results.

We also performed in operando X-ray absorption spectroscopy (XAS) at the Cu K-edge to investigate the Cu chemical state during CO<sub>2</sub>RR. Under the current density of 300 mA cm<sup>-2</sup>, all copper oxides in the different N-C/Cu and Cu catalysts are reduced to Cu(0) within the first 16s, and then the valence state of Cu is maintained at zero throughout CO<sub>2</sub>RR (Fig. 4c and Supplementary Figs. 40 and 41). These in operando XAS results demonstrate that the selectivity to ethanol on the N-C/Cu and Cu catalysts is associated with the metallic state of Cu, rather than the existence of copper oxides<sup>11,33</sup>. Additionally, there is no feature of Cu-C or Cu-N in the in operando XAS results<sup>34</sup>, suggesting that no chemical bond is formed between the Cu layer and the N-C (or C) layer and thus the interaction between the Cu layer and the N-C (or C) layer is of the van der Waals type. This is sufficient to render the N-C/Cu and C/Cu catalysts stable during the CO<sub>2</sub>RR reaction. Ex situ XAS at the potassium K-edge on the 34% N-C/Cu catalyst following CO<sub>2</sub>RR reveals the adsorption of K<sup>+</sup> on Cu (Supplementary Fig. 42), suggesting a non-continuous interface between the Cu and N-C layers-supporting the results of the HAADF-STEM images (Fig. 2h and Supplementary Fig. 25).

We note that ethanol FE shows a volcano-shape relationship with the increase of nitrogen contents under the same current densities (Fig. 3d and Supplementary Fig. 43). This trend might be related to the different electron-donating abilities of N-C layers on Cu catalysts. In the N-C layer, pyridinic-N is the main factor determining the electron-donating ability as it has a lone electron pair in the plane of the carbon matrix<sup>35</sup>. Among the N-C/Cu catalysts, the highest content of pyridinic-N in 34% N-C/Cu may thus lead to the highest ethanol FE (Fig. 2f and Supplementary Fig. 36).

Additionally, we also acquired ex situ X-ray absorption near-edge structure (XANES) spectra at the nitrogen K-edge on different N-C/ Cu catalysts in total-electron-vield mode, which provided information on the near-surface chemical states<sup>36</sup>(Fig. 4d). Nitrogen K-edge XANES spectra also show that there are three types of nitrogen doping (pyridinic-N, pyrrolic-N and graphitic-N) in the N-C/Cu catalysts37. As the concentration of doped nitrogen increases in the N-C layer, the main adsorption edges shift to higher energy, demonstrating that the average valence state of the nitrogen atoms (negative charge) increases in the order of 26% N-C<34% N-C/Cu < 39% N-C/Cu. The nitrogen K-edge XANES spectra of different N-C/Cu catalysts recorded in a bulk-sensitive partial fluorescence yield<sup>36</sup> also show the same trend (Supplementary Fig. 44). Among these N-C/ Cu catalysts, a nitrogen atom with a lower valence state has a stronger electron-donating ability, and thus favours electron transfer from the N-C layers to the surface intermediates and ultimately promotes ethanol production during CO<sub>2</sub>RR, as discussed for the DFT calculations. Apart from the average valence state of the nitrogen atoms, the total electron-donating ability of the N-C layer is also determined by the proportion of doped nitrogen. Therefore, the moderate valence state of the nitrogen atoms and the concentration of nitrogen in the 34% N-C/Cu catalyst might result in the strongest total electron-donating ability, thereby delivering the highest ethanol FE.

We integrated the 34% N-C/Cu catalyst into a membrane electrode assembly (MEA) system to evaluate its stability (Supplementary Fig. 45). This system shows an ethanol FE value similar to that of the alkali flow cell, and also retains the high ratio of FE<sub>ethanol</sub> to FE<sub>ethylene</sub> seen in the alkali system (Supplementary Figs. 46 and 47). After we had operated the MEA system under a full-cell voltage of -3.67 V for 15h with a total current density of about 160 mA cm<sup>-2</sup>, the system retained its ethanol FE of 52%, representing an ethanol full-cell EE of 16% (Supplementary Fig. 48). XRD, SEM and EDX elemental mapping show that the N-C/Cu catalyst maintains its structure and morphology following 15h of high-intensity electrolysis (Supplementary Figs. 49 and 50).

#### Conclusions

This work reports how the confinement effect, arising from an N-C layer on Cu catalysts, taken together with the strong electron-donating ability of the N-C layer, enables advances in selectivity towards ethanol in  $CO_2RR$ . We report an ethanol FE of  $(52 \pm 1)\%$  with a partial ethanol current density  $(156 \pm 3) \text{ mA cm}^{-2}$  on the 34% N-C/Cu catalyst in  $CO_2RR$ . The cathodic EE and full-cell EE values for ethanol are also high, at 31% and 16%, respectively. These findings provide a route towards improving selectivity towards high-energy-density ethanol in  $CO_2RR$  through catalyst design.

#### Methods

Chemicals. Copper target (99.999%) and carbon graphite target (99.999%) were purchased from the Kurt J. Lesker company. (Certain commercial equipment, instruments or materials are identified in this paper and the Supplementary Information in order to specify the experimental procedure adequately. Such identification is not intended to imply recommendation or endorsement by the National Institute of Standards and Technology, nor is it intended to imply that the materials or equipment identified are necessarily the best available for the purpose.) Potassium hydroxide (KOH) was purchased from Caledon Laboratory Chemical. Iridium (III) chloride hydrate (IrCl<sub>3</sub>·xH<sub>2</sub>O, 99.9%) and potassium bicarbonate (KHCO<sub>3</sub>, 99.5%) were purchased from Sigma-Aldrich. Anion exchange membrane (Fumasep FAB-PK-130), gas diffusion layer (Freudenberg H14C9), and titanium mesh were obtained from Fuel Cell Store. A Sustainion anion-exchange membrane was obtained from Dioxide Materials; the membrane was activated in 1 M KOH aqueous solution for 24 h and then washed with water before use. PTFE membrane with an average pore size of 450 nm was purchased from Beijing Zhongxingweiye Instrument Co., Ltd. Ni foam (1.6 mm thickness) was purchased from MTI Corporation. All chemicals were used as received. All aqueous solutions were prepared using deionized water with a resistivity of 18.2 MΩ cm<sup>-1</sup>.

**Electrodes.** All the cathodes were prepared using a magnetron sputtering system. Typically, a Cu cathode was prepared by sputtering 200 nm Cu catalyst (Cu target, sputtering rate about 1.1 Å s<sup>-1</sup>) onto a piece of PTFE membrane. The mass loading of Cu on the PTFE membrane is 0.19 mg cm<sup>-2</sup>. By sputtering 50 nm of the N-C layer or the carbon layer (carbon graphite target, sputtering rate about 0.05 Å s<sup>-1</sup>) on sputtered Cu catalysts supported by PTFE, we can further obtain the N-C/Cu and C/Cu cathodes, respectively. N-C layers with different nitrogen contents were prepared by adjusting the flow rate ratio of N<sub>2</sub> to Ar. For deposition of carbon, 26%, 34% and 39% N-C layers on sputtered Cu catalysts, the flow rate ratios of N<sub>2</sub> to Ar were set to be 0/20 standard cubic centimetres per minute (sccm), 2/20 sccm, respectively. Similarly, the 34% N-C gas diffusion electrode was prepared by sputtering 50 nm of the N-C layer onto the gas diffusion layer.

In the flow cell, Ag/AgCl reference electrode (3 M KCl, BASi) and Ni foam were used as the reference electrode and anode, respectively. In the MEA system, the iridium oxide supported on titanium mesh (IrOx/Ti mesh) used as the anode catalyst was prepared using a dip-coating and thermal-decomposition method<sup>38</sup>.

Structural and compositional analyses. SEM images and the corresponding EDX elemental mapping were taken using a Hitachi FE-SEM SU5000 microscope. HAADF-STEM images, and the corresponding EDX and electron energy loss spectroscopy (EELS) elemental mapping were taken using a Hitachi HF-3300 microscope at 300 kV and an aberration-corrected FEI Titan 80-300 kV transmission electron microscopy (TEM)/STEM microscope at 300 kV, with a probe convergence angle of 30 mrad and a large inner collection angle of 65 mrad to provide a nominal image solution of 0.7 Å. For the TEM/STEM imaging, we prepared an ultrathin slice (about 100 nm) using the Leica UM7 ultramicrotome (Leica Microsystems). The slice was then transferred to a 100-mesh nickel grid for characterization. Cross-sectional SEM imaging and EDX elemental mapping were performed using a Hitachi Dual-beam FIB-SEM NB5000. Structural characterization of cathodes was obtained using XRD (MiniFlex600) with Cu Ka radiation. The surface compositions of cathodes were determined by XPS (model 5600, Perkin-Elmer) using a monochromatic aluminum X-ray source. In situ Raman measurements were obtained using a Renishaw inVia Raman microscope in a modified flow cell and a water immersion objective  $(63\times)$  with a 785-nm laser. XAS measurements were conducted at the 9BM beamline at the Advanced Photon Source (Argonne National Laboratory). Ex situ XAS measurements were carried out at the BL731 beamline at the Advanced Light Source (Lawrence Berkeley National Laboratory) and the SXRMB beamline at the Canadian Light Source. Athena and Artemis software included in a standard IFEFFIT package were used to process the XAS data<sup>39</sup>. WAXS measurements were carried out in transmission geometry at the CMS beamline of the National Synchrotron Light Source II, a United States Department of Energy (US DOE) office of the Science User Facility operated for the DOE Office of Science by Brookhaven National Laboratory. Samples were measured with an imaging detector at a distance of 0.177 m using an X-ray wavelength of 0.729 Å. The Nika software package was used to sector average

the two-dimensional WAXS images<sup>40</sup>. Data plotting was done using Igor Pro (Wavemetrics; https://www.wavemetrics.com/products/igorpro).

Electrochemical measurements. In the flow cell, the electrochemical measurements were conducted in the three-electrode system at an electrochemical station (AUT50783). Prepared cathodes, the anion exchange membrane and nickel foam were positioned and clamped together via PTFE gaskets. Here nickel foam was used as the anode for the oxygen evolution reaction in the flow cell because nickel is a good oxygen-evolution-reaction catalyst in an alkaline environment<sup>41</sup>. 30 ml of electrolyte (1 M KOH aqueous solution) was introduced into the anode chamber between the anode and the membrane, as well as the cathode chamber between the membrane and the cathode, respectively. The electrolytes in the cathode and anode were circulated by two pumps at the rate of 10 ml min<sup>-1</sup>. Meanwhile, CO, gas (Linde, 99.99%) was continuously supplied to the gas chamber located at the back side of the cathode at the rate of 50 ml min<sup>-1</sup>. Through the pore of the cathode, gas could diffuse into the interface between the cathode and the electrolyte. The performance of the cathodes was evaluated by performing constant-current electrolysis. All potentials were measured against an Ag/AgCl reference electrode (3 M KCl, BASi). In the isotope-labelling experiment, the procedure was the same as in the above experiment, except that <sup>13</sup>CO<sub>2</sub> gas (Sigma-Aldrich, 99 atom% <sup>13</sup>C) was used as the supply gas.

Gas and liquid products were analysed using a gas chromatograph (PerkinElmer Clarus 600) equipped with thermal conductivity and flame ionization detectors and a nuclear magnetic resonance (NMR) spectrometer (Agilent DD2 600 MHz) by taking dimethylsulfoxide (DMSO) as an internal standard, respectively. All the potentials were converted to values with reference to the RHE using:

 $E_{\rm RHE} = E_{\rm Ag/AgCl} + 0.210\,\rm V + 0.0591 \times pH$ 

The ohmic loss between the working and reference electrodes was measured using the electrochemical impedance spectroscopy technique at the beginning of the electrolysis and 95% *iR* compensation (*i*, current; *R*, uncompensated resistance) was applied to correct the potentials manually.

 $\rm Cu_{ECSA}$  in catalysts was determined using Pd underpotential deposition in the flow cell. An  $\rm N_2$ -saturated solution of 100 mM HClO<sub>4</sub> + 1 mM Pd(ClO<sub>4</sub>)<sub>2</sub> was used as the electrolyte. The cathode was held at -0.081  $\rm V_{RHE}$  for 60 s and then cyclic voltammetry was recorded between -0.281 and 0.239  $\rm V_{RHE}$  at 5 mV s<sup>-1</sup>. Pt foil was used as the anode.  $\rm N_2$  (Linde, 99.998 %) was continuously supplied to the gas chamber of the flow cell. The electrolyte was not circulated during the cyclic voltammetry measurement. The Cu<sub>ECSA</sub> in the catalyst is calculated from the charge associated with 2 $e^{-}$  oxidation of monolayer of Pd adatoms coverage over Cu surface with a conversion factor of 310  $\mu C\,cm^{-2}$  (ref.  $^{42}$ ).

The MEA was a complete 5 cm<sup>2</sup> CO<sub>2</sub> electrolyser (SKU 68732), purchased from Dioxide Materials. The cathode catalyst (2.25 cm by 2.25 cm) was attached on the cathode side by the copper tape at the edge of the electrode and Kapton tape was then attached on the top of copper tape to avoid its contact with the membrane/electrolyte. The activated Sustainion membrane  $(3 \text{ cm} \times 3 \text{ cm})$  and five pieces of IrO<sub>x</sub>/Ti mesh anode catalyst (2.25 cm by 2.25 cm) were placed on the top of the cathode successively and then assembled together in MEA, as shown in Supplementary Fig. 45. On the anode side, 0.2 M KHCO<sub>3</sub> aqueous solution was used as the anolyte and was circulated using a pump at the rate of 10 ml min<sup>-1</sup> On the cathode side,  $CO_2$  gas (80 ml min<sup>-1</sup>) continuously flowed to the humidifier with deionized water and was then supplied to the cathode chamber of MEA. The performance of the catalysts in the MEA system was evaluated by applying different full-cell potentials in the two-electrode system at the electrochemical station (AUT50783) equipped with a current boost (10 A). The gas products were collected and tested by gas chromatograph after the products from the cathode side went through a simplified cold trap (Supplementary Fig. 45). Owing to the liquid product crossover, the FE values of the liquid products were calculated based on the total amount of the products collected on the anode and cathode sides during the same period.

**Calculation for energy conversion efficiency.** In the flow cell, the ethanol energy conversion efficiency was calculated for the half-cell in the cathode ( $\text{EE}_{cathodic half-cell}$ ). The overpotential of oxygen evolution is assumed to be zero. The ethanol  $\text{EE}_{cathodic half-cell}$  can be calculated as follows<sup>6</sup>:

$$\text{EE}_{\text{cathodic half-cell}} = \frac{(1.23 + (-E_{\text{ethanol}})) \times \text{FE}_{\text{ethanol}}}{(1.23 + (-E_{\text{applied}}))}$$

where  $E_{applied}$  is the potential used in the experiment, FE<sub>ethanol</sub> is the measured Faradaic efficiency of ethanol in percentage, and  $E_{ethanol} = 0.09 V_{RHE}$  for CO<sub>2</sub>RR (ref. <sup>43</sup>). In MEA system, ethanol energy efficiency is calculated for full cell:

$$\mathrm{EE}_{\mathrm{full-cell}} = \frac{(1.23 + (-E_{\mathrm{ethanol}})) \times \mathrm{FE}_{\mathrm{ethanol}}}{-E_{\mathrm{full-cell}}}$$

where  $E_{\text{full-cell applied}}$  is the full-cell voltage applied in the MEA system.

**Theoretical methods.** In this work, all the DFT calculations were carried out with a periodic slab model using the Vienna ab initio simulation program (VASP)<sup>44–47</sup>. The generalized gradient approximation was used with the Perdew–Burke–Ernzerhof exchange-correlation functional<sup>48</sup>. The projector-augmented wave method<sup>49,50</sup> was utilized to describe the electron–ion interactions, and the cut-off energy for the plane-wave basis set was 450 eV. To illustrate the long-range dispersion interactions between the adsorbates and catalysts, we employed the D3 correction method by Grimme et al.<sup>51</sup> Brillouin zone integration was accomplished using a 3×3×1 Monkhorst–Pack *k*-point mesh.

For the modelling of copper, the crystal structure was optimized, and the equilibrium lattice constants were found to be  $\alpha_{Ca}$ =3.631 Å. We used a 4-layer model with p(3×3) supercell with the two upper layers relaxed and the two lower layers fixed. All the adsorption geometries were optimized using a force-based conjugate gradient algorithm, while transition states were located with a constrained minimization technique<sup>52,54</sup>. At all intermediate and transition states, one charged layer of water molecules was added to the surface to take the combined effects of field and solvation into account: six water molecules are added near the surface, with three facing toward the surface, and three parallel with the surface<sup>55</sup>. To consider the effect from the confinement of carbon and nitrogen-doped carbon on Cu, we added one layer of graphene or nitrogen-doped graphene on the Cu surface, as shown in Supplementary Fig. 1.

We further developed a sampling framework based on the amorphous study by Deringer et al. (ref. <sup>56</sup>). A total of 50 amorphous carbon and 100 amorphous N-doped carbon structures were investigated using the pipeline (Supplementary Fig. 13).

We started the pipeline with the structure of the Cu surface with intermediate and water (defined as structure 1). We then added amorphous carbon structures on the surface of structure 1 and allowed DFT to fully relax the amorphous structures and water molecules. All fifteen amorphous structures were considered from the amorphous study (in folder 'DFT\_relaxed\_216at' of the supplementary material) by Deringer et al. (ref. <sup>56</sup>). The formation energy per atom distribution of the optimized amorphous carbon is shown in Supplementary Fig. 14. We checked the energy distribution of the amorphous carbon structures and removed reconstructed structures, such as structures with protons transferred from water to amorphous carbon.

We then kept the top ten most stable unreconstructed amorphous carbon structures, and for each amorphous carbon structure, we randomly replaced 30% carbon with nitrogen ten times. This process generates 100 amorphous N-doped carbon structures, and the formation energy per atom distribution of the 100 structures is shown in Supplementary Fig. 15. We then chose the top ten most stable amorphous N-doped carbon structures (Supplementary Table 7) and calculated the reaction energies of the ethanol and ethylene pathways (Supplementary Table 8). The overall preference of the pathways represents the contribution from all of the structures. The average reaction energies of the ethylene and ethanol pathways are 0.01 eV and -0.12 eV, respectively, indicating that confinement due to amorphous N-doped carbon promotes ethanol selectivity.

Local species concentration modelling. The system was modelled as a two-dimensional domain with 200-nm-thick Cu and 50-nm-thick porous N-C layers, according to the catalyst, as well as electrolyte sub-domains (Supplementary Fig. 34). The local concentrations of CO2, CO32-, HCO3-, OH-, H+ and H2O in an electrolyte solution under CO3RR conditions were modelled in COMSOL 5.4 (COMSOL Multiphysics) using the Transport of Dilute Species physics with the values reported in Supplementary Table 9. This model, based on previous papers<sup>6,57,58</sup>, accounts for the acid-base carbonate equilibria, as well as CO<sub>2</sub> reduction via electrocatalysis in an electrolyte solution (1 M KOH). The quantity of dissolved CO2 in solution is determined by the temperature, pressure and solution salinity. Assuming CO2 acts as an ideal gas, the dissolved amount is given by Henry's law<sup>5</sup> <sup>60</sup>, and the solubility is further diminished owing to the high concentration of ions in solutions according to the Sechenov equation61. CO2, CO32-, HCO3-, OH-, H+ and H2O are all in equilibrium in solution according to the acid-base equilibria62-65 with flux due to Fickian diffusion66, as well as CO<sub>2</sub> reduction and OHproduction<sup>67,68</sup> for a current density of 300 mA cm<sup>-2</sup>. A time-dependent study was performed to simulate species evolution towards the steady state. At the perimeter of the outer boundary, the CO2 concentration was specified according to Henry's law and the Sechenov effect, with 1 M KOH equilibrium concentrations imposed for CO32-, HCO3- and OH-. The inner Cu domain is modelled as solid, on the surface of which the CO2 was reduced and OH<sup>-</sup> was produced, and the N-C domain is porous-with discrete 10-nm nanopores as well-all of which use Bruggeman effective diffusivity69-71. We set an approximately 1-nm gap between the Cu layer and the N-C layer based on the actual N-C/Cu catalyst. The case for no N-C layer was modelled by excluding the N-C domain with all other parameters kept the same.

#### Data availability

The authors declare that the data supporting the findings of this study are available within the paper, Supplementary Information and Source Data files.

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## **NATURE ENERGY**

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#### Author contributions

E.H.S. supervised the project. X.W. designed and carried out the experiments, analysed the data and wrote the paper. Z.W. carried out DFT simulations and wrote the corresponding section. X.W. and A.O. carried out the MEA measurements. D.-H.N., Y.-S.L., F.L., Y.L. and S.-F.H. performed synchrotron X-ray spectroscopy measurements. Z.C. and M.C. conducted the preparation and characterization of STEM/TEM ultrathin slices of catalyst. B.C., J.T., J.Y.H. and B.S. conducted TEM characterizations. Y.W. and J.T. performed part of the SEM characterizations. J.W., A.P. and P.T. carried out XPS measurements. A.R.K. and L.J.R. carried out the WAXS measurements and analysed the WAXS data. C.M. carried out the local species concentration modelling. C.M.G. and C.P.O. provided help with the MEA measurements. F.P.G.d.A., C.-T.D., Y.C.L., J.L., T.-T.Z., M.L., Y.M., A.X., B. Stephen, B. Sun, A.H.I., S.O.K. and D.S. assisted with the discussions. A.R.K. is a guest researcher. All authors discussed the results and contributed to the preparation of the manuscript.

#### **Competing interests**

A patent application regarding confined electrocatalysis for  $\mathrm{CO}_2\text{-to-ethanol}$  is in preparation.

#### Additional information

Supplementary information is available for this paper at https://doi.org/10.1038/ s41560-020-0607-8.

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